

# Best Practices for Data Publication in the Astronomical Literature

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Caltech

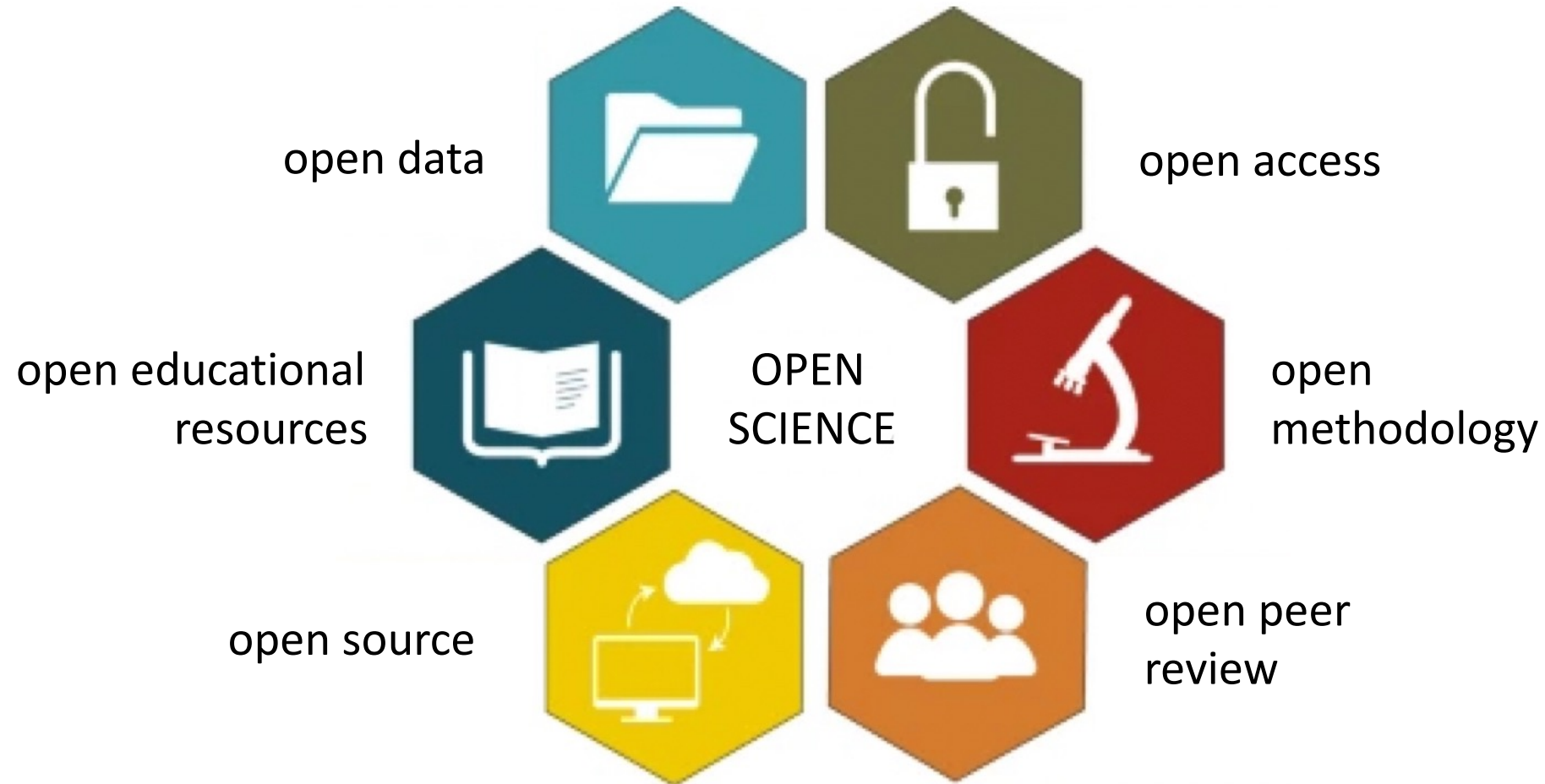
# Best Practices for Data Publication in the Astronomical Literature

(Chen et al. [2022ApJS..260...5C](#))

- A broad-based effort led by NED, in response to growth and complexity of data published in the literature
- A call to authors to publish cleaner, unambiguous, and better documented data
- Addresses important FAIR principles
- Strong connection to NASA's Open Science Program: Following BPs will improve the scientific record, enhance reusability, and help streamline ingest into archives



# Core principles of open science



Adapted from Gallagher et al., Nat Ecol Evol 4, 294–303 (2020)

<https://doi.org/10.1038/s41559-020-1109-6>

# FAIR Principles Addressed



## Findable

- **F1:** (Meta)data are assigned globally unique and persistent identifiers
- **F2:** Data are described with rich metadata



## Accessible

- **A1:** (Meta)data are retrievable by their identifier using a standardized communications protocol
- **A1.1:** The protocol is open, free, and universally implementable
- **A1.2:** The protocol allows for an authentication and authorization procedure, where necessary



## Interoperable

- **I1:** (Meta)data use a formal, accessible, shared, and broadly applicable language for knowledge representation
- **I2:** (Meta)data use vocabularies that follow FAIR principles
- **I3:** (Meta)data include qualified references to other (meta)data











## Reusable

- **R1.2:** (Meta)data are associated with detailed provenance
- **R1.3:** (Meta)data meet domain-relevant community standards






# Open data

- Provide complete names and coordinates for objects studied in an article (§2.1, §2.2)  
E.g., SDSS J1441+0948 --> SDSS J144157.24+094859.1 or     
SDSS J144156.97+094856.5 or  
SDSS J144157.26+094853.7?
- Present the appropriate number of significant figures for numerical measurements and uncertainties that match the precision of the measurements. (§2)
  - Example: (131.32134587, 1.01243229) would imply an accuracy of  $10^{-8}$  degrees
  - More than 70% paper NED processed do not give uncertainty of measurements
- Include information for "data behind the plots" (§3.2)   
- Provide a complete list of metadata (§4)    
E.g., creation, standards, quality of the data, ...







# Open source

- Make source code available (§5.3)    
E.g., use Astrophysics Source Code Library (ASCL) to submit the software used in the research
- Provide full provenance of the data (§5.2)   
E.g., "2MASS (Skrutskie et al. 2006) as downloaded with TOPCAT (version 4.8–3, Taylor 2005) via VizieR (II/246, Cutri et al. 2003)"
- List the software and version used in the production of the article (§5.3)  
E.g., "Stanford Classifier v3.9.2, The Stanford Natural Language Processing Group, <https://nlp.stanford.edu/software/classifier.shtml>"

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# Open methodology

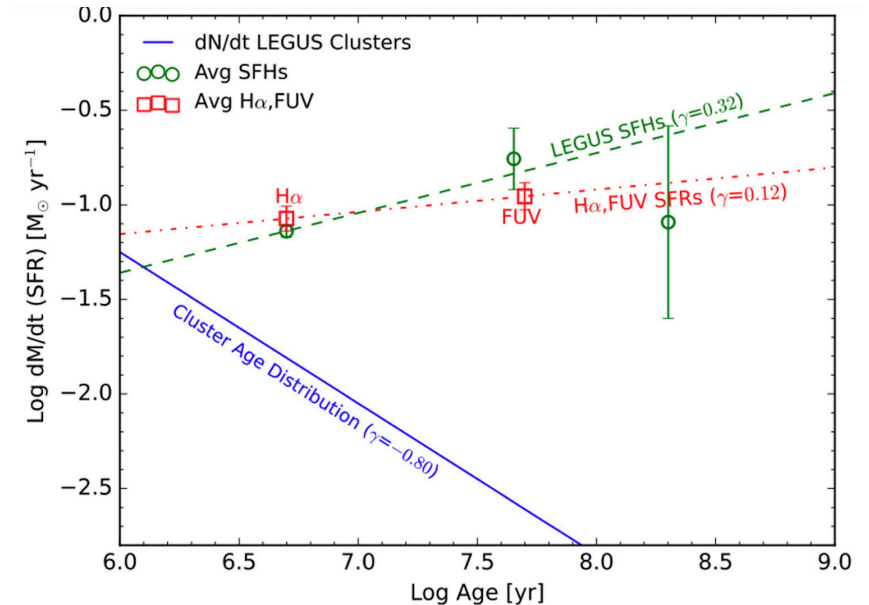
- Describe the method used to estimate photometry (§2.3)   
E.g., PSF fitting, aperture photometry, or isophotal measurements? Size of the aperture and background annulus for aperture photometry? Corrections/assumptions made in the calculation?
- Describe the method used to obtain redshift (§2.5)   
E.g., the particular method (spectroscopic, photometric, etc.) and base assumptions used in the models (template fitting, machine learning, etc.)
- Provide uncertainty and confidence level when reporting a new    
measurement (§2)



# Open access

- Create the graphics with accessibility in mind (§3.2)
- Append small data sets as part of the publication and deposit large or complex data at an archive with open access (§4) 
  - Do not publish data sets at URLs lacking long-term support.
- Include a Data Availability Statement (§4)  

E.g., “All data are incorporated into the article and its online supplementary material” or “Data are available in a repository and can be accessed via a DOI link”.



Cook et al. [2019MNRAS.484.4897C](https://doi.org/10.1093/mnras/stz281)





# Open peer review

- “... intended to be used not only by authors during the preparation and submission stages of a publication, but also by referees, editors, and publishers during the refereeing and editing stages before final publication.” (§7)
  - Checklist of BP can be found at <http://ned.ipac.caltech.edu/Documents/Guides/BestPractices>
- Applying Best Practices requires increased attention to data and methodology during peer review.
  - “...improve the quality of the published research record, expedite the integration of data into the databases with more efficiency and accuracy, and result in long-term preservation and reuse of valuable data.”
  - “... enable more scientific discoveries that would otherwise not be possible or practical”

# Integration into the Community

- Links to Best Practices article now in Instructions for Authors
  - AAS journals: AJ, ApJ, ApJL, ApJS, Planetary Science Journal
  - Oxford University Press (MNRAS)
  - Institute of Physics (PASP)
- Recently cited in two Nature Astronomy articles
  - “How to plan your astronomy research paper in ten steps” (Chamba, Knapen & Black [2022NatAs...6.1015C](#))
  - “How to write and develop your astronomy research paper” (Knapen, Chamba & Black [2022NatAs...6.1021K](#))
- Chen was interviewed for cross-disciplinary article
  - “How to make your scientific data accessible, discoverable and useful” (Perkel, [2023Natur.618.1098P](#))



The screenshot shows the AAS Publishing website. The header includes navigation links for AAS, AAS Journals, BAAS, AAS-IOP eBooks, AAS Nova, AAS TeX, Astronomy Image Explorer, Unified Astronomy Thesaurus, and YouTube Interviews. The main navigation bar lists journals: ASTROPHYSICAL JOURNAL LETTERS, ASTRONOMICAL JOURNAL, ASTROPHYSICAL JOURNAL, ASTROPHYSICAL JOURNAL SUPPLEMENT SERIES, PLANETARY SCIENCE JOURNAL, and RESEARCH NOTES OF THE AAS. A search bar is located on the right. Below the navigation is a banner image of a planet with rings. The main content area is titled "Data Guide" and contains the following text:

**Data Guide**

AAS Journals encourage the enrichment of articles with data and other digital materials, including data links. Such materials are subject to the same peer-review standards as the articles as a whole, and their inclusion should be justified on scientific grounds. Regardless of the format for providing such material, authors must familiarize themselves with resources such as the [“Best Practices for Data Publication”](#) (Chen et al. 2022, *ApJS*, doi:[10.3847/1538-4365/ac6268](#)) to guide the style and formatting of such data; the most recent version of these Best Practices guidelines can be found at NED (doi:[10.26132/NED7](#)).

On the right side of the page, there is an "Announcement" box with a lock icon and the text: "AAS Journals Fully Open Access in 2022".

# AAS workshop on Best Practices

- 2pm, Saturday, January 6, 2024, at New Orleans.
- Spread the words among your coworkers, friends, students, etc.
- Please register

<https://aas.org/meetings/aas243/workshops>